

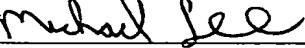
12-22-00

A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

I, Michael Lee, certify that this paper and the documents and/or fees referred to as attached, herein are being deposited with the United States Postal Service on December 20, 2000 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EJ756595450US, addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.



Michael Lee

Attorney Docket No.: DEM1P001

First Named Inventor: NEAL

JCG14 U.S. PRO
09/741958
12/20/00



UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:
**MICHAEL NEAL, KRISHNA VENKATRAMAN, SUZANNE VALENTINE,
PHIL DELURGIO and HAU LEE**

For: **PRICE OPTIMIZATION SYSTEM**

Assigned to:

Application Elements:

- 126 Pages of Specification, Claims and Abstract
- 26 Sheets of Formal Drawings
- Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:

- Do not publish this application. Nonpublication Request is attached.
- Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- 37 CFR 3.73(b) Statement by Assignee
- Information Disclosure Statement with Form PTO-1449
 - Copies of IDS Citations
- Preliminary Amendment
- Return Receipt Postcard
- Other:



ulation (37 CFR § 1.16)

Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

	(Col. 1) Total Claims		(Col. 2) Claims	(Col. 3) Present Extra	Rate	Additional Fee
TOTAL	5	MINUS	20	= 0	x 18 =	\$0
INDEP.	2	MINUS	3	= 0	x 80 =	\$0
[] First presentation of multiple dependent claim				\$270 =		
Basic Filing Fee under 37 C.F.R. §1.16(a)				\$710 =		\$710.00
				TOTAL		\$710.00
SMALL ENTITY 50% FILING FEE REDUCTION (if applicable)						

Check No. in the amount of \$ is enclosed.

The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. DEM1P001).

Général Authorization for Petition for Extension of Time (37 CFR §1.136)

Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. DEM1P001).

Please send correspondence to the following address:

Customer Number 022434
BEYER WEAVER & THOMAS, LLP
P.O. Box 778
Berkeley, CA 94704-0778
Telephone (510) 843-6200
Fax (510) 843-6203



Date: December 20, 2000

Michael Lee
Michael Lee
Registration No. 31,846

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: NEAL et al.

Attorney Docket No.: DEM1P001

Application No.: Filed Herewith

Examiner: Unassigned

Filed: Filed Herewith

Group: Unassigned

Title: PRICE OPTIMIZATION SYSTEM

**NONPUBLICATION REQUEST AND CERTIFICATION
(35 U.S.C. 122(b)(2)(B)(i))**

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen (18) months after filing. I hereby request that the attached application **NOT** be published under 35 U.S.C. 122(b).

Respectfully submitted,
BEYER WEAVER & THOMAS, LLP


Michael Lee
Registration No. 31,846

Dated: December 20, 2000

P.O. Box 778
Berkeley, CA 94704-0778